

FCPF External Technical Advisory Panel

TAP's overview of the six R-PPs submitted to PC-7:

Ethiopia, Lao PDR, Madagascar, Peru, Tanzania, Vietnam

November 1-3, 2010 FCPF Participants Committee Washington DC

TAP (composition and assessment process)

Composition:

- Mix of: independent in-country and international experts and competences
- Representative of indigenous/traditional forest communities

Assessment Process:

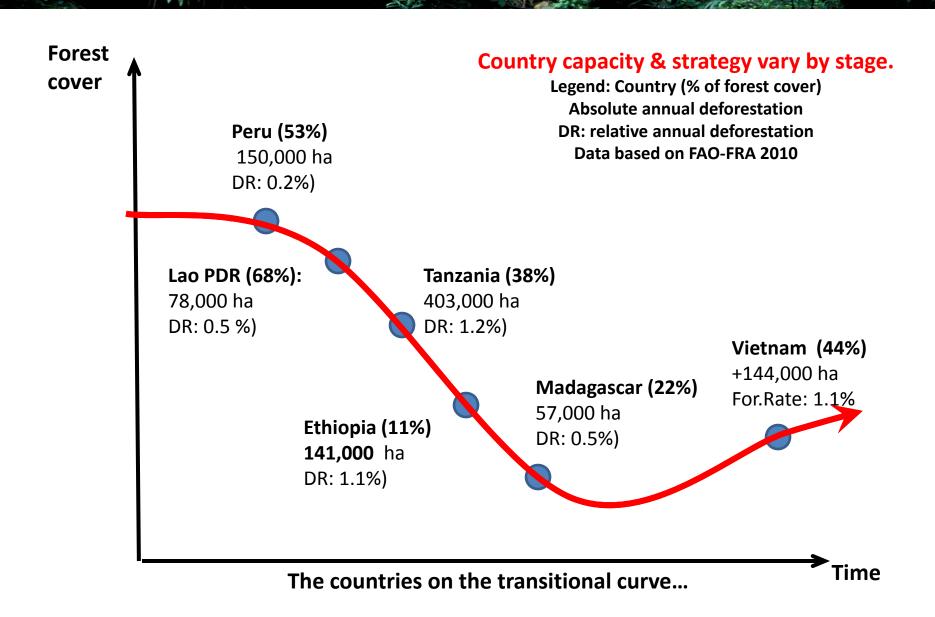
- Individual assessment of R-PPs by each expert (based on the template) being focused, to the point; advisory: critical but fair
- Synthesis review document prepared by the lead reviewer and joint TAP discussion for validation (e-mail exchanges, conference call)
- Exchange, based on the consolidated TAP review, with the R-PP country team through Conference call(s) led by the TAP leader and also attended by selected (in-country) TAP experts and FMT staff
- Evolution in stages, two rounds or more of full TAP assessments before the final proposal is presented to the PC

TAP: General Issues Identified so far

(see also Harvesting Knowledge on REDD+ prepared by the FMT)

- Evolving from <u>planning stage to preparation process</u>: There is an ever increasing expectation from participating countries to reach REDD+ readiness. Countries want to see a much faster process from planning to implementation
- Ownership of the R-PP: Balance between the use of international consultants and the domestication of the process and strategy within each country
- Despite the increasing quality of the R-PP documents, one of the main challenges is the <u>adequacy of capacities</u> for R-PP implementation.
- The TAP continuously asks itself on how to advise countries to make sure that R-PP is understood as preparation for <u>readiness</u> (through a series of specific work plans) and not as implementation of REDD+.

Six new R-PPs on the transitional curve



General observations from the 6 R-PPs

- IP rights being better observed now (many refer now to FPIC). But: How to implement REDD in forests under Indigenous management or control still not clear in many cases
- Countries still have difficulties producing R-PP. This is due to several internal issues and technical requirements that countries were not used to before (e.g. reference level scenarios, MRV).
- Thus, addressing governance issues and building capacities are among the most important challenges in the readiness implementation (R-PP implementation)
- Lack of historical data on carbon content and changes in land/forest cover makes it difficult to adequately address the work plans for the preparation of REDD+ Readiness.
- We are beginning to see efforts for participatory monitoring and enhancing capacity of local communities in the work plans which is both a challenge and an opportunity for a reliable MRV system



Peru

Madagascar

Ethiopia

Tanzania

Vietnam

Lao PDR

1 Organize and Consult

2 Prepare a REDD Strategy

3 Develop a Reference Scenario

4 Design a Monitoring System

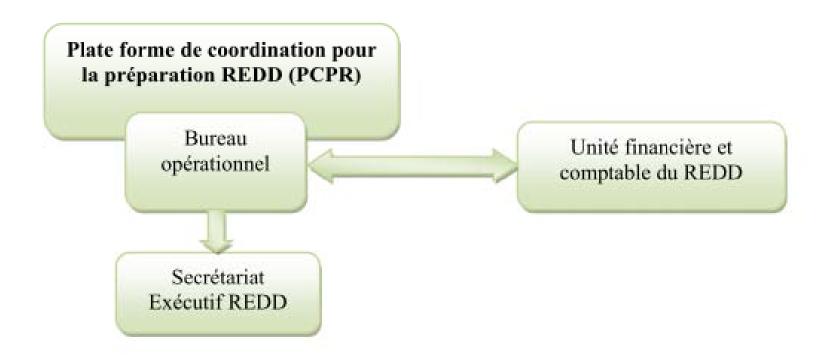
5 Schedule and Budget

6 Program Monitoring & Evaluation Framework

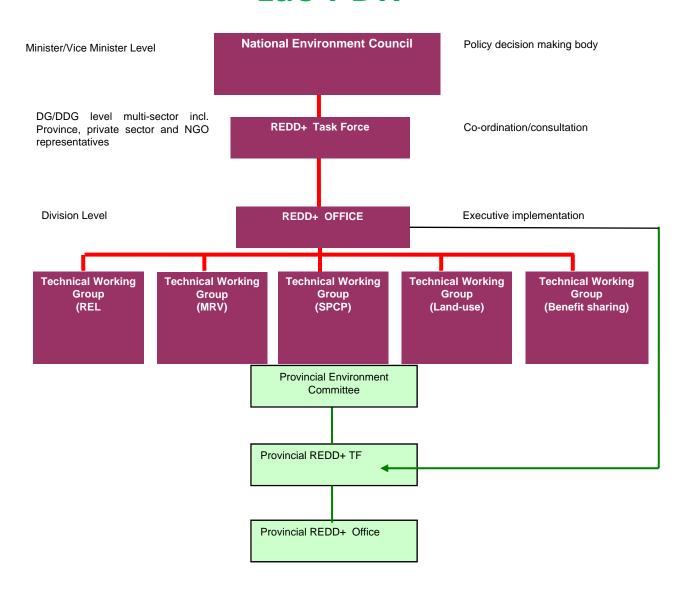
1a. National Readiness Management Arrangements

- Link to overarching climate change policies made in some of the countries (Peru, Madagascar, Vietnam)
- All countries provide a comprehensive arrangement that lists/relates to all the relevant ministries and other stakeholders
- Some countries clearly show functional links between institutions (Tanzania, Lao PDR, Vietnam) but it is not always clear what the various institutions should do to reduce DD
- Other countries still need to establish such functional links (e.g. Peru)
- The Apex body is either the Office of the Vice-President (Tanzania), Prime Minister's office (Vietnam, Lao PDR, Ethiopia), Ministry dealing with forests (Madagascar), the Ministry of Environment (Peru)
- → Not all can show strong mechanisms of leverage to facilitate cross-sectoral coordination, which is critical for REDD+.

Examples of Institutional arrangements:Madagascar



Examples of Institutional arrangements:Lao PDR



1b. Stakeholder consultation and participation

- Most countries have initiated consultation processes that include indigenous populations. However, in spite of the efforts, some of the processes remain difficult
- The consultation processes need to be enhanced to ensure that they cover more than awareness creation. They need to include REDD strategy development and formulation of implementation frameworks. The question is when such consultation process should be done (R-PP: a living process....)
- Land tenure, carbon ownership, and benefit sharing mechanism remain major issues that need to be discussed during consultation

Consultation should go beyond awareness creation

Stage 1: Transparent and early information sharing with major stakeholders offers the promise of REDD+ becoming more inclusive than previous land use dialogues.

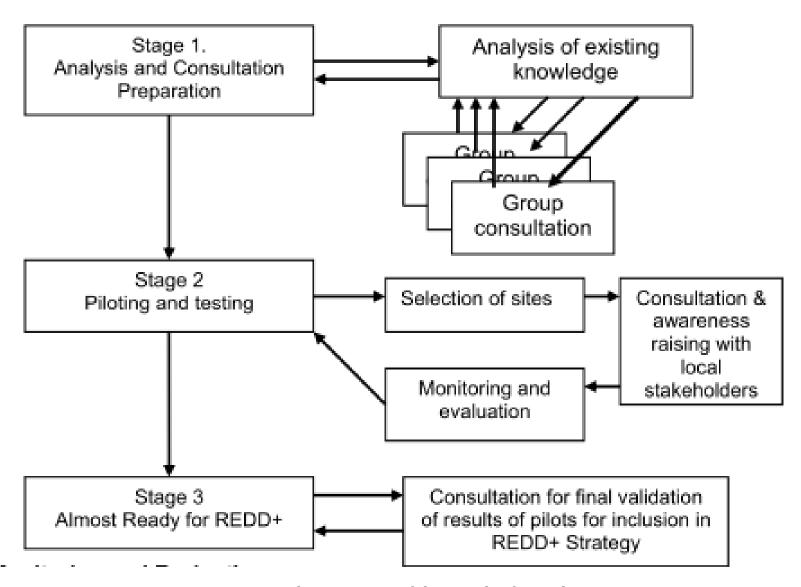


Stage 2:

Consultations in the R-PP implementation will require new openness from governments and stakeholders... issue based and outcome or results oriented

- Some countries are rather reluctant to do a wider consultation before going through the readiness process
- Other countries offer detailed multi-stakeholder consultation plans
- Other countries have done consultation processes, but they face a lot of reluctance from stakeholder groups

Lao PDR example: Consultation and knowledge sharing process



Sequencing consultation and knowledge sharing

2a. Assessment of Land Use, Forest Policy and Governance

- Quality of this section has considerably improved in all six R-PPs and the drivers of DD are well described
- However, historical data on the impact of the drivers (infrastructure, commercial agriculture, subsistence agriculture, mining, power plants, biofuels, commercial and/or illegal logging etc.) are limited in most of the countries
- → R-PP implementation should help to improve this situation (e.g. Vietnam, Peru, Madagascar, Tanzania)

2b. REDD Strategy Options

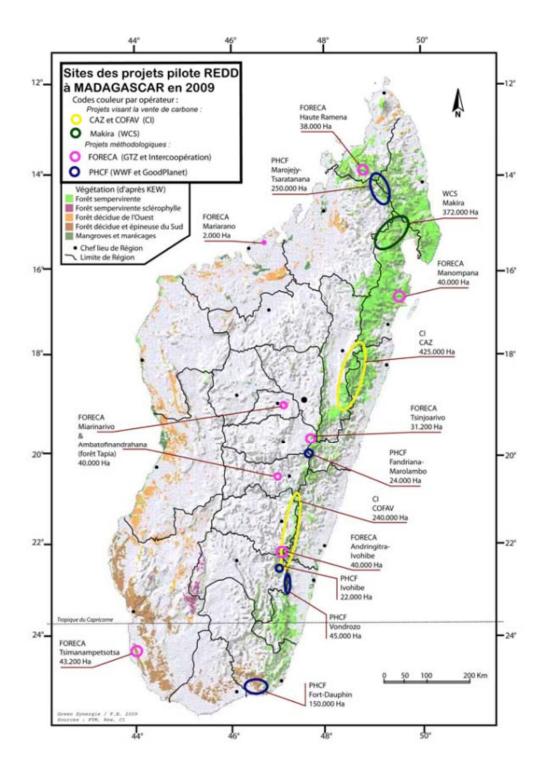
- Attempts are made to integrate REDD+ strategies into the larger development context (e.g. Madagascar, Tanzania, Lao PDR).
- Proposed strategies are centered primarily on forestry activities (natural forest conservation, afforestation, SFM, land cover change, changes in policy and legislation (e.g. Peru, Ethiopia).
- Existing experiences at subnational/local level are taken into account (e.g. Peru, Madagascar, Tanzania)
- In general, D&D drivers particularly those that are external to the forest sector are not explicitly addressed.
- Application of market-based instruments (e.g. concept of PES) taken into account in some countries (e.g. Tanzania, Vietnam)



Peru: REDD+ strategy embedded in a new government program

2c. REDD Implementation Framework

- Been a challenge for most countries to articulate the component.
- Implementation framework needs to address legislation and policy instruments that help institutions to govern financing mechanisms, institutional mandates, stakeholder engagement, data management, etc.
- All six countries have a comprehensive coverage of implementation elements. However, some countries have emphasized specific elements, e.g.
 - Legislation: Peru
 - Institutions/national development strategies: Lao PDR, Madagascar
 - Revenues: Vietnam
- In none of the R-PP: Link of REDD to the forest-based CC adaptation agenda....
 - → New R-PP template gives more guidance for this component



Implementation of REDD on the ground: Using existing experience Example of Madagascar

- Plan d'Actions
 Environmentales (PAE)
- REDD pilots: 5 sites, covering 1.6 million hectares of forests (more than 10% of the forest area of the country)

Peru: Linking policies and sectoral strategies to REDD+ strategies

Políticas Nacionales

Estrategias / Planes

Ley Creación MINAM

(Decreto Legislativo Nº 1013)

LOPE (No. 29158)

Ley General del Ambiente (N°. 28611)

Ley Orgánica de Aprovechamiento Sostenible de los RRNN (Nº 26821)

Politica Nacional del Ambiente (DS 012-2009-MINAM)

Convención Marco de Cambio Climático (1992)

Convenio de Diversidad Biológica (1993)

Convenio 169 OIT (1994)

Convención CITES (1974) Estrategia Nac. de Cambio Climático (DS No. 086-2003-PCM)

Estrategia Nac. Diversidad Biológica (DS No. 102-2001-PCM)

> Estrategia Nacional Crecer (DS No. 055-2007-PCM)

Bases para la Estrategia de Superación de la Pobreza

(DS No. 002-2003-PCM)

Plan Nacional de Superación de la Pobreza

(DS No. 064-2004-PCM)

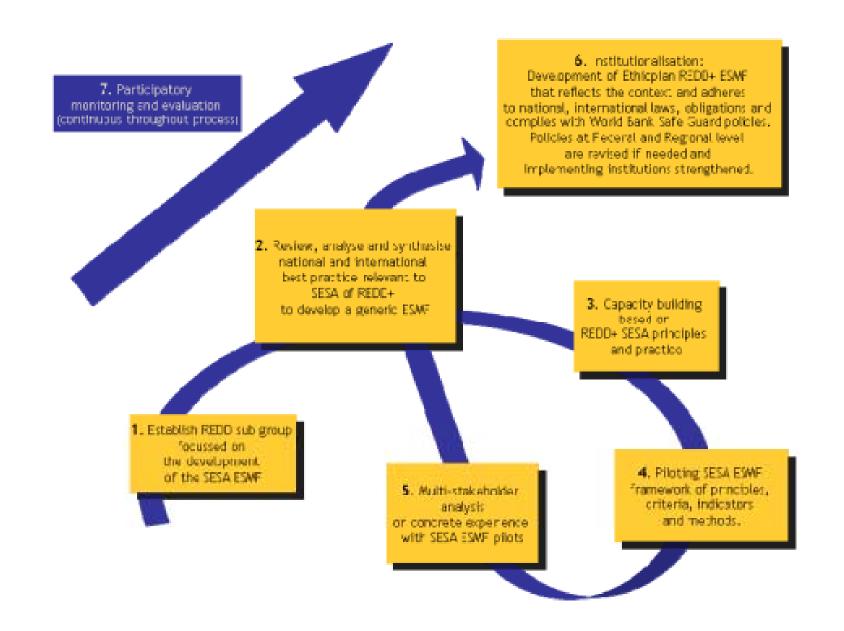
Plan de Reforma de Programas Sociales (DS No. 029-2007-PCM)

Plan de Mitigación y Adaptación al Cambio Climático (MINAM, 2010)

2d. Assessment of Social and Environmental Impacts

- All six RPPs recognize the importance of SESA but they need to develop the necessary capacities to do this under REDD+
- Countries such as the Ethiopia, Vietnam, Madagascar, that have made good attempts so far

Exemplary approach on EIA and SESA: Ethiopia

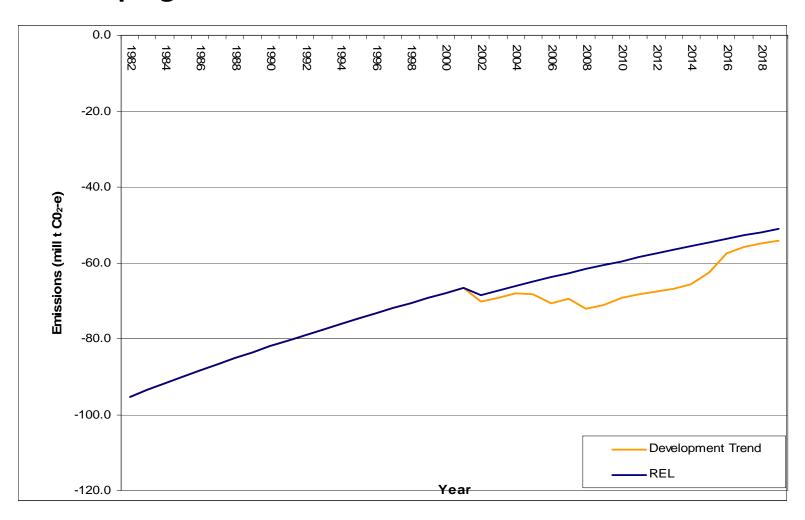


3. Reference Scenarios

- The types of approaches and tools for developing reference scenarios seem to differ among countries
- E.g. for Lao PDR and Vietnam, the R-PP follows the UNFCCC approach focused on reference levels and reference emission levels (RL and REL scenarios)
- Countries need to articulate the needed steps (a work plan) on how to arrive at a reference scenario (RL, REL)
- There is a lot of potential for regional collaboration to cluster experience and save costs:
 - Tanzania, Kenya, Ethiopia, Madagascar?
 - Lao PDR, Vietnam, Cambodia, Thailand?
 - Peru, Colombia,?
- → New R-PP template gives more guidance for this component

Reference Scenario, example of Lao PDR

The Lao PDR R-PP describes the process they followed for developing the RL and REL scenarios



4. Measurement, Reporting and Verification (1)

4a. Carbon monitoring

- The RPPs display a good understanding of the technical details regarding carbon and emissions and removals
- The national and sub-national structures for monitoring is often not stated, well understood or developed
- Madagascar proposes the use of LIDAR (light detecting and ranging) as a cost effective tool to measure carbon density of forests (that also has some prospects to be used for monitoring degradation)
- Tanzania has proposed an independent verifier for carbon credits

4b. Additional benefits

The monitoring of non-carbon variables is generally not very developed – e.g. the drivers of D&D. Peru proposes to monitor governance drivers (like other R-PPs before)

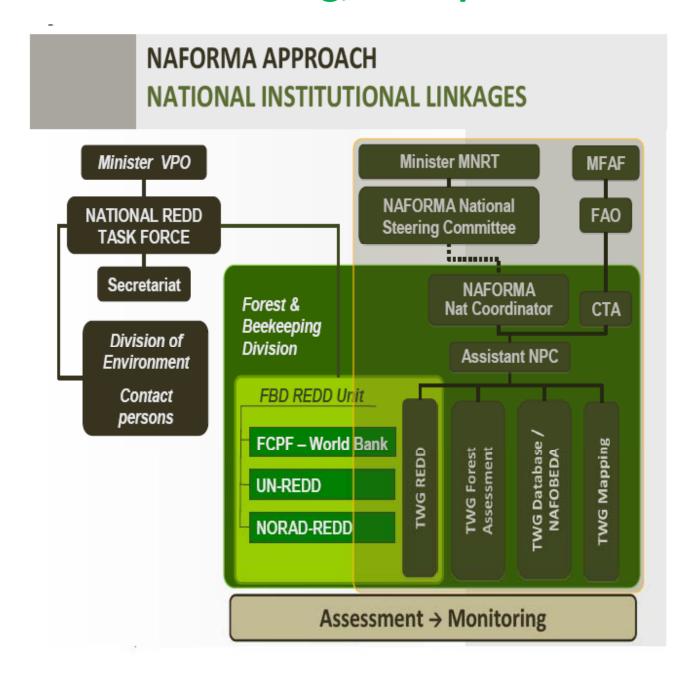
4. Measurement, Reporting and Verification (2)

National coordination plan plan/ mechanism/ arrangements for monitoring for both carbon and non-carbon variables is required

There is a need to show the following links:
DD drivers → REDD+ strategy → REL → MRV

→ New R-PP template gives more guidance for this component

Carbon Monitoring, example of Tanzania



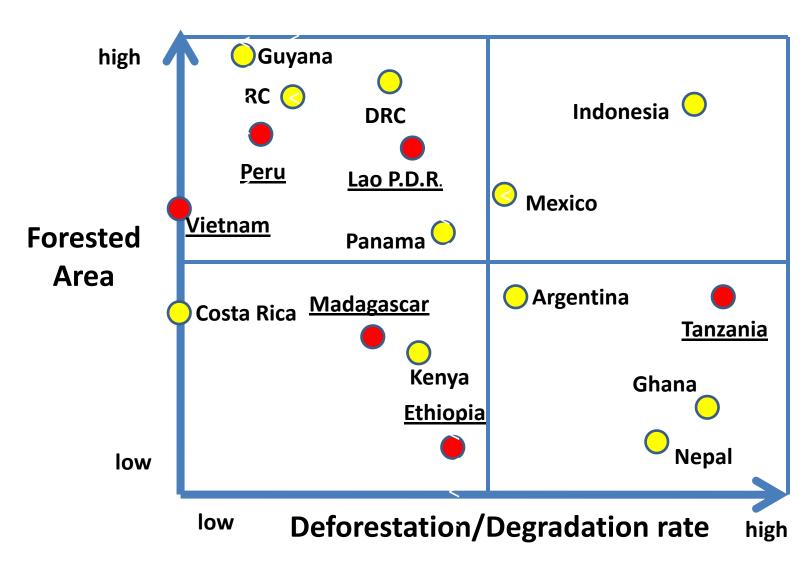
5. Schedule and Budget

- RPPs present activity / sub-activity level budgets
- More detailed budget information would be helpful for the TAP to better assess the components
- Rationale on how the entire R-PP will be funded would be helpful to have (not only FCPF funding):
 - Some countries have shown good leverage of funding for the R-PP implementation
 - Some show various funding sources, other apparently have funding gaps

6. Monitoring and Evaluation

- This a mandatory requirement which until recently was not taken seriously. All except Lao PDR have presented M&E plans
- Better define evaluation <u>criteria and follow-up</u> <u>procedures</u> for the different steps of the R-PP implementation
- → Collection of baseline data would be helpful (links to components 3 and 4)

In summary: the 6 new R-PPS in the FCPF World...



Based on the FAO-FRA 2010) data